



Investment Change Form

Important information about this form:

- Fill out this form to make changes to your investment strategy in your DreamAhead account.
- You can make changes to your investment strategy up to twice per calendar year, or when you change the Beneficiary of the account to a qualified “Member of the Family.”
- An investment change to or from an account in another Plan in the network for the same Beneficiary will be considered a change among investment portfolios for the purposes of this restriction.
- All investment strategy changes submitted at the same time would be counted as one strategy change.
- When you transfer money FROM an investment option, there’s a \$5 withdrawal minimum per portfolio. Unless you decide to withdraw all the funds from a portfolio, you can withdraw up to 90% of the portfolio’s balance or leave a balance of at least \$5.
- When you transfer money TO an investment option, there’s a \$5 contribution minimum to each separate portfolio you select.
- It can take up to 10 business days for the investment change to process.
- A Medallion Signature Guarantee is required for an Entity account or an account for which the individual completing the form is acting in a legal capacity as a representative of the Account Owner (**Step 4**).
- Make sure you use black ink to type or print clearly in capital letters.

Need help?

Give us a call Monday – Friday
from 6am – 5pm PT at
1-844-529-5845 or
1-844-888-2253 (TTY)

Mail the form to:

DreamAhead College
Investment Plan
P.O. Box 9661
Providence, RI 02940-9661

Overnight Mail:

DreamAhead College
Investment Plan
4400 Computer Drive
Westborough, MA 01581

1 DreamAhead account information

Name of Account Owner (First and last)

____ _ - ____ - ____ _
Account Owner’s Social Security or Taxpayer Identification Number

____ _
DreamAhead account number

Reallocation #2

A Move funds FROM the following option:

____ Code _____ Portfolio name

Select one:

Partial amount

Liquidate this portfolio in full

\$ _____ , _____ . _____

B Move funds TO the following option:

____ Code _____ Portfolio name _____ %
Code Portfolio name Percent

____ Code _____ Portfolio name _____ %
Code Portfolio name Percent

____ Code _____ Portfolio name _____ %
Code Portfolio name Percent

Total = 100%

Reallocation #3

A Move funds FROM the following option:

____ Code _____ Portfolio name

Select one:

Partial amount

Liquidate this portfolio in full

\$ _____ , _____ . _____

B Move funds TO the following option:

____ Code _____ Portfolio name _____ %
Code **Portfolio name** **Percent**

____ Code _____ Portfolio name _____ %
Code **Portfolio name** **Percent**

____ Code _____ Portfolio name _____ %
Code **Portfolio name** **Percent**

Total = 100%

3 Sign the form

By signing this form, I authorize the investment change of funds from my current investment portfolio(s) in **Step 2** to the investment portfolio(s) as indicated. I certify and understand the following:

- An investment change of funds among investment portfolios for my account can only be requested twice per calendar year.
- I authorize the investment change of assets in my account per my instructions in **Step 2**.
- If I am making contributions by payroll deduction or monthly transfer, I understand that those recurring contributions will continue into my previously designated investment portfolio(s) unless updated by me.
- I understand that if I set up an online gifting page, gift contributions made to this account will continue being made to the investment portfolio I designated when setting up the page, unless updated by me.
- I understand that this investment change of funds will become effective upon the Plan's receipt of this form in good order.
- I certify that I am the Account Owner, or can provide a Medallion Signature Guarantee to have the authority to act as the Account Owner.

Signature of Account Owner/Custodian/Authorized Representative
of Entity

Date (mm/dd/yyyy)

4 A Medallion Signature Guarantee

Only complete this step if you are acting on behalf of the Account Owner or you're an Entity Account Owner.

Keep in mind that:

- You're providing the following information as underwritten certification that your signature is genuine.
- You can get a Medallion Signature Guarantee from an authorized officer of a bank, broker, or other qualified financial institution. A notary public doesn't qualify, and you cannot guarantee your own signature. You may be required to provide proof of your authority to act on behalf of the DreamAhead account.
- **Only sign if you are in the presence of an authorized officer providing the Medallion Signature Guarantee.**

I certify that the information provided herein is true and complete in all respects, and that I have read and understand, consent, and agree to all the terms and conditions of the **Program Details Booklet**.

Signature of Account Owner/Custodian/Authorized Representative of Entity

Signature Guarantor

Title

Name of Institution

Date (mm/dd/yyyy)

Have the Authorized Officer stamp here

Appendix – DreamAhead Investment Portfolio Options

For descriptions and details about all of these portfolio options, please go online to www.DreamAhead.wa.gov or see the **Program Details Booklet** for important information including descriptions, details, and risks about the investment options before making a decision.

Static Portfolios

Code	Portfolio Name
WACCP	Cash Preservation Portfolio
WACIN	Income Portfolio
WACCG	Income & Growth Portfolio
WACBA	Balanced Portfolio
WACMG	Conservative Growth Portfolio
WACGR	Moderate Growth Portfolio
WACAG	Growth Portfolio

Year of Enrollment

Conservative

Code	Portfolio Name
WAC36	Year of Enrollment 2036 - Conservative
WAC34	Year of Enrollment 2034 - Conservative
WAC32	Year of Enrollment 2032 - Conservative
WAC30	Year of Enrollment 2030 - Conservative
WAC28	Year of Enrollment 2028 - Conservative
WAC26	Year of Enrollment 2026 - Conservative
WAC24	Year of Enrollment 2024 - Conservative
WAC22	Year of Enrollment 2022 - Conservative
WAC20	Year of Enrollment 2020 - Conservative
WAC18	Year of Enrollment 2018 - Conservative

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Moderate

Code	Portfolio Name
WAM36	Year of Enrollment 2036 - Moderate
WAM34	Year of Enrollment 2034 - Moderate
WAM32	Year of Enrollment 2032 - Moderate
WAM30	Year of Enrollment 2030 - Moderate
WAM28	Year of Enrollment 2028 - Moderate
WAM26	Year of Enrollment 2026 - Moderate
WAM24	Year of Enrollment 2024 - Moderate
WAM22	Year of Enrollment 2022 - Moderate
WAM20	Year of Enrollment 2020 - Moderate
WAM18	Year of Enrollment 2018 - Moderate

Growth

Code	Portfolio Name
WAA36	Year of Enrollment 2036 - Growth
WAA34	Year of Enrollment 2034 - Growth
WAA32	Year of Enrollment 2032 - Growth
WAA30	Year of Enrollment 2030 - Growth
WAA28	Year of Enrollment 2028 - Growth
WAA26	Year of Enrollment 2026 - Growth
WAA24	Year of Enrollment 2024 - Growth
WAA22	Year of Enrollment 2022 - Growth
WAA20	Year of Enrollment 2020 - Growth
WAA18	Year of Enrollment 2018 - Growth